

HA

Sector 5

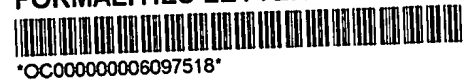
UNITED STATES PATENT AND TRADEMARK OFFICE

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/802,791         | 03/08/2001          | Nicholas F. Borrelli  | SP00-139               |

CONFIRMATION NO. 8335

FORMALITIES LETTER



\*OC000000006097518\*

 Svetlana Short  
 Coming Incorporated  
 SP-TI-3-1  
 Coming, NY 14831

Date Mailed: 05/21/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

 07/16/2001 CCHAU1 00000015 033325 09802791  
 01 FC:101 710.00 CH  
 02 FC:105 130.00 CH

JUL 23 2001

PTO/SB/17 (12/99)

Approved for use through 09/30/2000 OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28.

## Complete if Known

|                        |                 |
|------------------------|-----------------|
| Application Number     | TBD             |
| Filing Date            | Herewith        |
| First Named Inventor   | Borrelli et al. |
| Examiner Name          | TBD             |
| Group / Art Unit       | TBD             |
| Attorney Docket Number | SP00-139        |

**TOTAL AMOUNT OF PAYMENT (\$ 840.00)**

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **03-3325**

Deposit Account Name **Corning Incorporated**

- ☒ Charge Any Additional Fees Required  
Under 37 CFR §§ 1.16 and 1.17

2. ☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Description        | Fee Paid |
|--------------|--------------|------------------------|----------|
| Code (\$)    | Code (\$)    |                        |          |
| 101 710      | 201 355      | Utility filing fee     | 710.00   |
| 106 320      | 206 160      | Design filing fee      |          |
| 107 480      | 207 240      | Plant filing fee       |          |
| 108 710      | 208 355      | Reissue filing fee     |          |
| 114 150      | 214 75       | Provisional filing fee |          |

**SUBTOTAL (1) (\$ 710.00)**

### 2. EXTRA CLAIM FEES

|                    | Extra Claims  | Fee from below | Fee Paid |
|--------------------|---------------|----------------|----------|
| Total Claims       | 17 - 20** = 0 | x 0 = 0        |          |
| Independent Claims | 2 - 3** = x   | = 0            |          |
| Multiple Dependent |               | =              |          |

\*\*or number previously paid, if greater; For Reissues, see below

### Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Description  |
|---------------|---------------|--|
| 103 18        | 203 9         | Claims in excess of 20                                     |
| 102 80        | 202 40        | Independent claims in excess of 3                          |
| 104 270       | 204 135       | Multiple dependent claim, if not paid                      |
| 109 80        | 209 40        | ** Reissue independent claims over original patent         |
| 110 18        | 210 9         | ** Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2) (\$ 0)**

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Description  | Fee Paid |
|--------------|--------------|--|----------|
| Code (\$)    | Code (\$)    |  |          |
| 105 130      | 205 65       | Surcharge - late filing fee or oath  | 130.00   |
| 127 50       | 227 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139 130      | 139 130      | Non-English specification  |          |
| 147 2,520    | 147 2,520    | For filing a request for reexamination                                     |          |
| 112 920*     | 112 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 113 1,840    | 113 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 115 110      | 215 55       | Extension for reply within first month                                     |          |
| 116 380      | 216 190      | Extension for reply within second month                                    |          |
| 117 870      | 217 435      | Extension for reply within third month                                     |          |
| 118 1,360    | 218 680      | Extension for reply within fourth month                                    |          |
| 128 1,850    | 228 925      | Extension for reply within fifth month                                     |          |
| 119 300      | 219 150      | Notice of Appeal   |          |
| 120 300      | 220 150      | Filing a brief in support of an appeal                                     |          |
| 121 260      | 221 130      | Request for oral hearing   |          |
| 138 1,510    | 138 1,510    | Petition to institute a public use proceeding                              |          |
| 140 110      | 240 55       | Petition to revive - unavoidable   |          |
| 141 1,210    | 241 605      | Petition to revive - unintentional   |          |
| 142 1,210    | 242 605      | Utility issue fee (or reissue)   |          |
| 143 430      | 243 215      | Design issue fee   |          |
| 144 580      | 244 290      | Plant issue fee  |          |
| 122 130      | 122 130      | Petitions to the Commissioner  |          |
| 123 50       | 123 50       | Petitions related to provisional applications                              |          |
| 126 240      | 126 240      | Submission of Information Disclosure Stmt                                  |          |
| 581 40       | 581 40       | Recording each patent assignment per property (times number of properties) |          |
| 146 690      | 246 345      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149 690      | 249 345      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid **SUBTOTAL (3) (\$130.00)**

## SUBMITTED BY

Name (Print/Type) **Svetlana Short**

Signature *Svetlana Short*

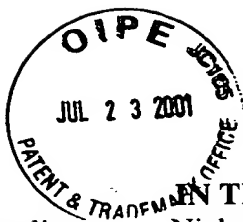
## Complete (if applicable)

Registration No. (Attorney/Agent) **34,432**

Date **7/18/01**

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231



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PATENT

Attorney Docket No.: SP00-139

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Nicholas F. Borrelli  
Bryce N. Samson  
Paul A. Tick

Serial No: 09/802,791

Group Art Unit: 2874

Filed: March 8, 2001

Examiner: TBD

For: GLASS CERAMIC FIBER  
LASERS AND AMPLIFIERS

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

ATTN: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated May 21, 2001, Applicant submits the statutory basic filing fee for the above-identified application, the required surcharge of \$840.00, and a copy of the Notice to File Missing Parts.

Authorization is given to charge the above-noted fees to Deposit Account No. 03-3325. If a fee is required for an extension of time under 37 C.F.R. § 1.136 not accounted for above, such an extension is requested and the fee should also be charged to our deposit account.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner of Patents and Trademarks, Washington, DC 20231 on 7/19/01

Date of Deposit

Svetlana Short  
Svetlana Short Signature

Respectfully submitted,

Svetlana Short

Svetlana Short

Registration No.: 34,432

Phone: (607) 974-0412

Date: 7/18/00